

APPLICATION FORM

Secured Term Deposit



INVESTOR DETAILS

Surname/Trust: _____ Mr/Mrs/Miss/Ms/Dr/Trustee _____

First Names: _____ Date of Birth: _____

Joint Surname: _____ Mr/Mrs/Miss/Ms/Dr/Trustee _____

Joint First Names: _____ Date of Birth: _____

Daytime Phone No: _____ Email Address: _____

Address: _____

Town or City: _____ Post Code: _____

IRD DETAILS

First Party

Please indicate your preferred withholding tax rate

IRD No. 10.5% 17.5% 28% 30% 33% 39%

Second Party

IRD No.

Are you a tax resident in any other country than New Zealand Yes or No (cross out one)

If you have answered Yes to the above, Please list the other country / countries : _____

and your foreign taxpayer identification number(s) (TIN) or equivalent : _____

SECURED TERM DEPOSIT DETAILS

Amount	Term	Interest Rate
\$ _____	_____	_____

COMPLIANCE WITH THE ANTI-MONEY LAUNDERING & COUNTERING FINANCING OF TERRORISM ACT 2009 (AML/CFT)

Source of Funds - Where did these deposit funds come from? (e.g. Savings, Deceased Estate, Sale of Property, Matured Term Investment.)

INTEREST OPTIONS AND FREQUENCY

Quarterly by direct credit to bank account Monthly by direct credit to bank account

Quarterly compound Email Interest Advices

Bank Account No

MATURITY OPTIONS

Auto renewal: I wish for my investment to be automatically renewed on maturity for the same term, at the applicable interest rate.

Maturity notice: Please send me notification just prior to maturity advising reinvestment and/or repayment options and applicable interest rates.

SIGNATURES

Signatures _____ Date: _____

For further information please refer to the Product Disclosure Statement
which can be downloaded from www.goldbandfinance.co.nz

PLEASE READ THIS BEFORE SIGNING

Terms and Conditions of Application

I hereby apply for Secured Term Deposit of Gold Band Finance Limited (GBF) as set out on this Application Form upon the terms and conditions of the Trust Deed dated 30 June 1993, as amended, and as set out in the Product Disclosure Statement (PDS).

I acknowledge that information about me will be held by GBF and that such information will be used to report details of my investment to me and for administrative purposes. I understand that the information is available to me on request and may be corrected by me.

I will advise GBF of any changes to the information provided on this application form.

I agree that the information held by GBF may be used for the purpose of sending me information about GBF or its subsidiaries and or investment products.

Product Disclosure Statement (PDS)

I acknowledge that I have had access to, read and understood a copy of the Product Disclosure Statement and agree that the terms and conditions for the Secured Term Deposit as stated in the Trust Deed and Product Disclosure Statement will be binding on me. Access by way of www.goldbandfinance.co.nz, copy available upon request or at our office.

Credit Risk Statement (CRS)

I acknowledge that I have received a copy of the Credit Risk Statement and confirm that I have read and understand the content of the CRS.

Anti-Money Laundering (AML)

GBF may disclose information relating to me/us or any investment to any authority, agency (including any government agency), the police, AML verification services, professional bodies or any financial institution in order to ascertain whether any government requirement applies to a transaction or if GBF reasonably believes that the disclosure will assist in the investigation, detection and /or prevention of fraud or other criminal offences, such as money laundering, and neither GBF or any related party will incur any liability to me as a result of such disclosure of information. I undertake to provide to GBF all information reasonably required to comply with any government requirement relating to anti-money laundering or terrorist financing.

Politically Exposed Person (PEP)

A politically exposed person is:

(a) an individual who holds, or has held at any time in the preceding 12 months, in any overseas country the prominent public function of—

- (i) Head of State or head of a country or government; or
- (ii) Government minister or equivalent senior politician; or
- (iii) Supreme Court Judge or equivalent senior Judge; or
- (iv) Governor of a central bank or any other position that has comparable influence to the Governor of the Reserve Bank of New Zealand; or
- (v) Senior foreign representative, ambassador, or high commissioner; or
- (vi) High-ranking member of the armed forces; or
- (vii) Board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise.

Please cross out one below

I/We, am a / am not a politically exposed person as above:

I/We, (are) / (are not) related to a politically exposed person as above:

Gold Band Finance Limited is not in the business of providing financial advice. I/We acknowledge that GBF and its staff have not provided me/us with any financial advice, and it has been recommended to me/us that we should take independent legal and financial advice.